

PATENT
Attorney Docket No. 764164604071(002)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Armstrong et al.

Serial No.: 10/822519

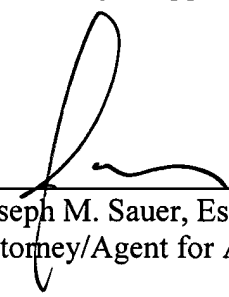
Filed: April 12, 2004

Title: System and Method for Transmitting Audio via a Serial Data Port in a
Hearing Instrument

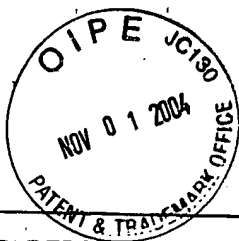
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

37 CFR 3.73(b) Statement

Attached is a copy of the Assignment of the subject application showing the chain of title
from the inventor(s) to the assignee.



Joseph M. Sauer, Esq. Reg. No. 47,919
Attorney/Agent for Assignee



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

OCTOBER 04, 2004

PTAS



102723183A

JONES DAY
JOSEPH M. SAUER, ESQ.
901 LAKESIDE AVENUE
NORTH POINT
CLEVELAND, OH 44114

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/12/2004

REEL/FRAME: 015212/0097
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

ARMSTRONG, STEPHEN W.

DOC DATE: 04/06/2004

ASSIGNOR:

CSEMAK, BRIAN D.

DOC DATE: 04/06/2004

ASSIGNEE:

GENNUM CORPORATION
970 FRASER DRIVE
BURLINGTON, ONTARIO, CANADA L7L 5P5

SERIAL NUMBER: 10822519

FILING DATE: 04/12/2004

PATENT NUMBER:

ISSUE DATE:

TITLE: SYSTEM AND METHOD FOR TRANSMITTING AUDIO VIA A SERIAL DATA PORT IN A HEARING INSTRUMENT

DOCKETED

764164-685071 (002) C

015212/0097 PAGE 2

KIMBERLY WHITE, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

04/12/04

04-19-2004

Form PTO-1595

(Rev. 10/02)

RE

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

OMB No. 0651-0027 (exp. 6/30/2005)

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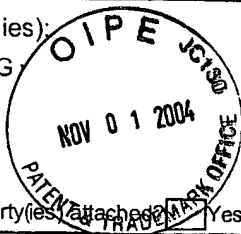
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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Stephen W. ARMSTRONG

Brian D. CSERMAK

Additional name(s) of conveying party(ies) attached? Yes ☒ No ☐

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other _____

Execution Date: 4/6/2004

2. Name and address of receiving party(ies)

Name: Gennum Corporation

Internal Address: 970 Fraser Drive

Street Address: Same

City: Burlington, Ontario, CANADA State: _____ Zip: L7L 5P5

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

10/822519

If this document is being filed together with a new application, the execution date of the application is: 4/12/2004

A. Patent Application No.(s) _____

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Joseph M. Sauer, Esq.

Internal Address: Jones Day

North Point

901 Lakeside Avenue

Street Address: Same

City: Cleveland State: OH Zip: 44114

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00



Enclosed



Authorized to be charged to deposit account

8. Deposit account number:

501432 (Ref. 764164605071)

DO NOT USE THIS SPACE

9. Signature.

04/19/2004 6TON11 00000033 501432 10822519

01 FC:0021

40.00 DA

Joseph M. Sauer

Name of Person Signing

Signature

April 12, 2004

Date

Total number of pages including cover sheet, attachments, and documents: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

ASSIGNMENT

WHEREAS new and useful improvements have been made by the undersigned in

**SYSTEM AND METHOD FOR TRANSMITTING AUDIO VIA A SERIAL DATA PORT IN
A HEARING INSTRUMENT**

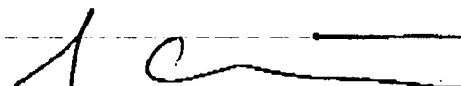
for which I have executed on even date herewith an application for Letters Patent of the United States, which application is further identified as Jones Day Docket No. 764164605071(002).

WHEREAS, **Gennum Corporation**, a corporation organized under the laws of the Province of Ontario, CANADA, having a place of business at 970 Fraser Drive, Burlington, Ontario, CANADA L7L 5P5, hereinafter referred to as "assignee", is desirous of acquiring all right, title, and interest throughout the world in and to said inventions and improvements including the patent rights therefor.

NOW THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, I hereby assign and transfer unto assignee, its successors and assigns, all right, title, and interest, in the United States and throughout the world, in and to said inventions and improvements and all patent applications, patents, patent rights, and inventor's certificates therefor, including without limitation said application for patent in the United States, all divisions and continuations thereof, all patents which may be granted thereon, all reissues thereof, all right to sue for past infringement thereunder, and all patents which may be granted for said inventions and improvements by states or nations other than the United States and all applications therefor, and I covenant and agree for myself and for my executors, administrators, and legal representatives that, at assignee's request, any and all applications, affidavits, assignments, and other instruments will be made, executed, and delivered as may be necessary or desirable to secure for or vest in assignee, its successors or assigns, any right, title, interest in and to any application, patent, patent right or other right or property covered by this assignment, including the right to apply for and obtain patents in foreign countries under the provisions of the International Convention, and the United States Commissioner of Patents and Trademarks is hereby requested and authorized to issue any and all United States patents granted on any of said applications to assignee as owner of the entire right, title, and interest in and to the same, and appropriately empowered officials of foreign countries are hereby authorized to issue any letters patent granted on any of said applications to assignee as owner of the entire right, title, and interest in and to the same.

The undersigned hereby grants the firm of Jones Day the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

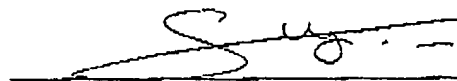
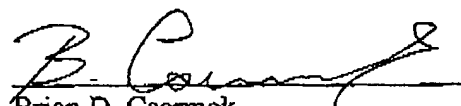
IN WITNESS WHEREOF, this assignment has been executed below by the undersigned:

*First Inventor:*Date: Apr 6/2004Residing and Having a
Post Office Address at:
Stephen W. Armstrong2141 Winchester Crt.
Burlington, Ontario L7P 3M7
CANADA

STATEMENT BY WITNESS

I, Philippe PANGO, whose full Post Office address is
(Name of Witness)
1258 Blanshard Dr. Burlington ON L7N 4N6 Canada
(Address of Witness)

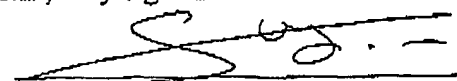
hereby declare that I was personally present and did see the above named person, personally known to me to be the person named in the assignment, duly sign and execute the same.

Date: April 6th 2004
(Signature of Witness)*Second Inventor:*Date: April 6, 2004Residing and Having a
Post Office Address at:
Brian D. Csermak6 Park Avenue
Dundas, Ontario L9H 5A8
CANADA

STATEMENT BY WITNESS

I, Philippe PANGO, whose full Post Office address is
(Name of Witness)
1258 Blanshard Dr Burlington ON L7N 4N6 Canada
(Address of Witness)

hereby declare that I was personally present and did see the above named person, personally known to me to be the person named in the assignment, duly sign and execute the same.

Date: April 6th 2004
(Signature of Witness)